

10/576210

1AP20 REC'D PCT TO 17 APR 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :
Kiminori MIZUUCHI : **Mail Stop: PCT**
Serial No. NEW : Attorney Docket No. 2006_0572A
Filed April 17, 2006 :
COHERENT LIGHT SOURCE AND :
OPTICAL DEVICE :
[Corresponding to PCT/JP2005/004525
Filed March 15, 2005]

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEES FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicant requests consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

- ☒ each U.S. Patent and U.S. Patent application publication;
- ☐ each reference previously cited in the international application
PCT/_____; and/or
- ☐ each reference previously cited in prior parent application Serial No.
_____.

1a. ☒ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, or

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

and thus no certification and/or fee is required.

ATTACHMENT D

1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

(1) ☐ the certification of paragraph 2 below is provided, **or**

(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

the certification of paragraph 2 below is provided, and

the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

2. It is hereby certified

a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or

b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.

4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:

a. ☐ a full or partial English language translation submitted herewith,

b. ☒ an International Search Report (in the English language) submitted herewith,

- c. ☐ the concise explanation contained in the specification of the present application at page,
- d. ☒ the concise explanation set forth in the attached English language abstract,
- e. ☒ the concise explanation set forth below or on a separate sheet attached to the reference:

JP 10-186427 corresponds to US patent 6,195,198

JP 6-102552 corresponds to US patent 5,448,398

JP 2001-308454 corresponds to US publication 2002/0009102

JP 2003-140213 corresponds to EP publication 0703649, US patent 5,936,985, and US publication 2002/0126369

JP 2004-102226 corresponds to WO publication 96/38757, and US publication 2002/0001322

JP 2003-43536 corresponds to US publication 2002/0172488

JP 2001-318396 corresponds to EP publication 1096307

JP 2004-35987 corresponds to US publication 2003/0226834

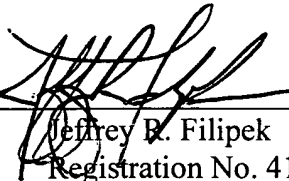
JP 2001-264662 corresponds to US publication 2001/0022566

5. ☒ an International Search Report citing one or more of the references is enclosed.

Respectfully submitted,

Kiminori MIZUUCHI

By



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April 17, 2006

INFORMATION DISCLOSURE STATEMENT

FORM PTO 1449 (modified)¹U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

Date Submitted to PTO: April 17, 2006

ATTY DOCKET NO.
2006_0572A

SERIAL NO.

NEW 576210

APPLICANT
Kiminori MIZUGUCHIFILING DATE
April 17, 2006

GROUP

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6,195,198	2-27-01	Hatori			
	AB	5,448,398	9-5-95	Asakura et al.			
	AC	2002/0009102	1-24-02	Hayakawa			
	AD	5,936,985	8-10-99	Yamamoto et al.			
	AE	2002/0126369	9-12-02	Yamamoto et al.			
	AF	2002/0001322	1-3-02	Yamamoto et al.			
	AG	2002/0172488	11-21-02	Morikawa et al.			
	AH	2003/0226834	12-11-03	Ishikawa et al.			
	AI	2001/0022566	9-20-01	Okazaki			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AJ	10-186427	7-14-98	Japan			abstract
	AK	6-102552	4-15-94	Japan			abstract
	AL	2001-308454	11-2-01	Japan			abstract
	AM	2003-163400	6-6-03	Japan			abstract
	AN	0 703 649	3-27-96	Europe			x
	AO	2003-140213	5-14-03	Japan			abstract
	AP	96/38757	12-5-96	WO			abstract
	AQ	2004-102226	4-2-04	Japan			abstract
	AR	2003-43536	2-13-03	Japan			abstract
	AS	1 096 307	5-2-01	Europe			x
	AT	2001-318396	11-16-01	Japan			abstract
	AU	2004-35987	2-5-04	Japan			abstract
	AV	4-45478	2-14-92	Japan			abstract
	AW	2001-264662	9-26-01	Japan			abstract

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	AX	
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EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include cop. this form with next communication to applicant.